



July 2013 Update

Document Image System Expansion

On July 23, 2013, U.S. Customs and Border Protection (CBP) published a Federal Register Notice (FRN), 78 FR 44142, announcing the modification of two National Customs Automation Program (NCAP) tests concerning the Document Image System (DIS) and Simplified Entry (SE), also referred to as Cargo Release/Simplified Entry, in the Automated Commercial Environment (ACE). This notice announced the beginning of the second phase of DIS and a modification to the SE test allowing certain data elements to be transmitted now via DIS.

Background:

In Phase I of the DIS pilot, CBP allowed trade members to electronically supply images of documents needed during the entry summary process to CBP and other Partner Government Agencies (PGA) via a CBP-approved Electronic Data Interchange (EDI), thus eliminating the need to present paper documents and allowing for a faster, more efficient, shipment review and cargo release process. Participants were provided the capability to submit specified CBP and PGA document images in an Extensible Markup Language (XML) format. DIS provides for the storage of all submitted documents in a secure centralized location for the maintenance of associations with ACE entry summary transactions thus promoting nationwide document visibility to CBP and PGA users examining documents, as part of the entry summary process. Further details regarding Phase I of the DIS pilot can be found in the Federal Register Notice published on April 6, 2012 (77 FR 20835).

DIS is available to participating ACE Entry Summary filers and can be used to respond to CBP electronic document requests. Unsolicited document submissions are not allowed. However, for purposes of PGA forms, commercial invoices and packing list documents associated to an ACE Entry Summary, certified for Cargo Release, filers may submit the supporting documentation without prior request by CBP or PGAs, anytime an entry summary is filed. Original documents transmitted via DIS must always be retained and made available, if requested by CBP or a PGA.



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Scope of Expansion:

For phase two of DIS, CBP reduced the number of metadata elements required for each document to only those necessary to identify the transmitter, the document preparer, the CBP request (if applicable) and the document, description and associated transaction. Documents supporting SE filings may now be submitted to DIS via EDI. Eligibility requirements to participate in DIS have been extended to now include software providers, who receive electronic data from the trade for transmission to CBP. Phytosanitary Certificates and Ingredients Lists, incorrectly identified as specific APHIS forms in phase one of the DIS pilot, may now be transmitted at the time of manifesting or bill of lading submission. Additional PGA document types are being supported in the second phase of DIS and include:

- **Food Safety and Inspection Service**

- ☐ FSIS Form 9060-5 – Meat Poultry Export Certificate of Wholesomeness
- ☐ FSIS Form 9540-4 – Shipper Notification – Importation of Undenatured Inedible Meat Product
- ☐ FSIS 9540-5 – Notification of Intent

- **Defense Contract Management Agency**

- ☐ DCMA – Certificate of Duty Free Entry

- **Centers for Disease Control and Prevention**

- ☐ CDC Importation Permission Letter
- ☐ CDC Form 0728 – Permit to Import or Transfer Etiological Agents or Vectors of Human Disease
- ☐ CDC Permit Exempt Letter



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- **APHIS Documents**

- ☐ Plant Protection and Quarantine (PPQ) Form 368 – Notice of Arrival
- ☐ PPQ Form 587 – Permit to Import Plants or Plant Products
- ☐ PPQ Form 586 – Permit To Transit Plants and/or Plant Products, Plant Pests, and/or Associated Soil Through The United States
- ☐ PPQ Form 203 – Foreign Site Certificate of Inspection and/or Treatment

CBP is encouraging vendors and filers to begin testing the expanded DIS capabilities. CBP also wants to remind trade participants that DIS Implementation Guides (IGs) and other DIS related documents are available on the CBP website at:

http://www.cbp.gov/xp/cgov/trade/automated/modernization/ace_edi_messages/catair_main/abi_catair/catair_chapters/document_imaging_igs/

For DIS policy-related questions, please contact Monica Crockett, Director, Entry Summary, Accounts and Revenue, ACE Business Office at Monica.V.Crockett@cbp.dhs.gov. For technical questions related to ABI transmissions, contact your assigned client representative. Anyone without an assigned client representative should direct their questions to Susan Maskell at Susan.C.Maskell@cbp.dhs.gov.

Simplified Entry Pilot Update

On November 9, 2011, CBP announced the beginning of an NCAP test concerning an entry capability, known as SE (see 76 FR 69755). SE simplifies the entry process by reducing the number of data elements required to obtain cargo release for shipments transported by air. The SE Pilot is currently open to formal and informal consumption entries (entry types 01 and 11) and allows trade participants to file entry information and receive cargo release status messages prior to the arrival of the shipment. SE also enhances cargo security by allowing CBP to identify high-risk shipments and make admissibility decisions before the merchandise



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arrives at the port. Document imaging capability was later added to the SE pilot allowing approved trade participants to electronically provide documentation supporting their SE filings via email. Currently, the pilot is operational at 16 major airports, and 22 filers are participating. As of July 2013, over 140,000 Simplified Entries have been filed for over 1,100 Importers.

Export Ocean Manifest Pilot Update

On March 28, 2012, CBP initiated an Export Ocean Manifest Pilot allowing ocean carriers to electronically submit their export manifests (CBP Form 1302A) and/or Bill of Ladings (BOLs) to CBP via DIS. Export Manifest Pilot participants submit their manifests and/or BOLs via a readable PDF attachment to an email into DIS, thereby automating what historically has been a paper-based process submitted to CBP at the port. This process also helps decrease some of the costs incurred by the trade associated with the presentation of paper export manifest and/or BOLs to CBP.

The initial pilot was conducted at four Atlanta field office ports, but has since been expanded to all seaports. If you are interested in participating in the Export Ocean Manifest Pilot or would like additional information, please contact Robert Rawls at Robert.Rawls@cbp.dhs.gov or William Delansky, at William.S.Delansky@cbp.dhs.gov.

Recent ACE Updates

Please note you may need to copy and paste the URLs below into your internet browser.

Post Summary Corrections Data Availability Changes

The outbound response message of the ACE ABI entry summary and Census warning query will be updated effective July 27, 2013 to accommodate Post Summary Corrections (PSC) Data availability changes. ACE Report capabilities are scheduled to be updated effective August 10, 2013



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to accommodate the PSC data availability changes. CBP will be publishing a Federal Register Notice in the near future announcing these changes. To learn more information about the PSC changes, please visit the “What’s New with ACE?” page of CBP.gov, and click on “Post Summary Corrections Data Availability – Change Notification”:

http://www.cbp.gov/xp/cgov/trade/automated/modernization/whats_new/

Reminder of the Month

ACE Report Tip: Scheduling ACE Reports

The ACE Reports tool allows users to schedule reports from either “Public Folders” or from “Favorites.” Scheduling a report from “Favorites” will generate the saved report; scheduling a report from “Public Folders” will generate a standard report.

To schedule a report, please follow these simple steps:

- Locate the report you want to run
- Click on the word **Schedule** under the report name and the “Schedule” portlet displays
- Locate the “When” category and click on the **Run Object** drop-down menu, then select a parameter from the list provided
- Enter the “Start Time” and “End Time” and any other conditional parameters
- Click on **Modify Values** from the “Prompts” category
- Complete the prompts as if you were running a report and click on **Apply**
- Lastly, click on the **Schedule** button on the right hand side to complete the scheduling of the report

If you scheduled a report from “Public Folders,” the report results will be returned to the “Inbox” folder inside “My Folders.” If a report is scheduled from your “Favorites,” the report results can be found by selecting the “History” link under the report name you scheduled.



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Your Questions:

How can we learn if an importer is authorized for Periodic Monthly Statement in the event the importer does not know?

You may contact the CBP Technology Service Desk (TSD) and open a trouble ticket. The TSD team will respond back with the requested activation information. You can also send an email to:

ACE.Support@cbp.dhs.gov .

Why don't ACE reports return current data?

If you are running an Account Management Aggregate or Detail report, please remember to change the default "Include Entries with No Entry Date" from "No" to "Yes" in the "Prompts" pop up box to see entries filed in the last ten days.

Are drawback entries, entry types 41, 42 and 43, available in ACE Reports?

Yes, drawback entry types are included in both aggregate and detail, Account Management reports.